

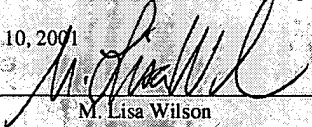


7Docket No. 111590-120

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:) Bernard John Carroll
)
Serial No.:) 09/701,926
)
Filing Date:) December 4, 2000
)
Group Art Unit:) Not Yet Assigned
)
Examiner:) Not Yet Assigned
)
For:) Phenotype Modifying Genetic Sequences

Assistant Commissioner for Patents
Washington, DC 20231

I hereby certify that this correspondence is being transmitted by facsimile to: Assistant Commissioner for Patents, Washington, D.C. 20231 on	
Date: August 10, 2001	Reg. No. 34,045
Signature:  M. Lisa Wilson	

Sir:

RESPONSE AND PRELIMINARY AMENDMENT

In response to the Notification of Missing Requirements dated May 21, 2001 ("Notice"; copy enclosed) and the Notification to Comply dated May 21, 2001 (copy enclosed), Applicant respectfully requests entry of the present Preliminary Amendment in the above-identified application as follows.

IN THE SPECIFICATION:

Please substitute the first page of the Sequence Listing with the clean version of the sequence listing, following entry of the amendments, as attached in Appendix 1. A marked-up version, showing the changes made thereto, is attached as Appendix 2.